

# Brzozowski Derivatives as Distributive Laws

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27 May 2020

# In Memoriam



Janusz (John) Antoni Brzozowski  
10 May 1935 – 24 October 2019

# Algebra/Coalgebra Interaction

- ▶ Automata/regular expressions [Kleene 56, Silva 10]
- ▶ Brzozowski minimization [Brzozowski 64, Adamek et al. 12, Bezhanishvili et al. 12, Bonchi et al. 14]
- ▶ Determinization [Bartels 04, Jacobs 06, Silva et al. 10]
- ▶ Dynamic logic [Pratt 76]
- ▶ Coalgebraic modal logic [Kurz 06, Kupke & Pattinson 11]
- ▶ State/predicate transformer duality [Abramsky 91, Bonsangue & Kurz 05]

Q: What is the glue relating the algebraic & coalgebraic structure?

# Algebra/Coalgebra Interaction

- ▶ Automata/regular expressions [Kleene 56, Silva 10]
- ▶ Brzozowski minimization [Brzozowski 64, Adamek et al. 12, Bezhanishvili et al. 12, Bonchi et al. 14]
- ▶ Determinization [Bartels 04, Jacobs 06, Silva et al. 10]
- ▶ Dynamic logic [Pratt 76]
- ▶ Coalgebraic modal logic [Kurz 06, Kupke & Pattinson 11]
- ▶ State/predicate transformer duality [Abramsky 91, Bonsangue & Kurz 05]

**Q:** What is the **glue** relating the algebraic & coalgebraic structure?

**A:** A **distributive law**  $\lambda : FG \rightarrow GF$

## Distributive Laws [Beck 69]

- ▶  $F, G : \mathcal{C} \rightarrow \mathcal{C}$
- ▶ natural transformation  $\lambda : FG \rightarrow GF$

$$\begin{array}{ccc} FGX & \xrightarrow{\lambda_X} & GFX \\ FGf \downarrow & & \downarrow GFf \\ FGY & \xrightarrow{\lambda_Y} & GFY \end{array}$$

- ▶ If  $F$  is part of a monad  $(F, \mu, \eta)$ , also require

$$\begin{array}{ccc} F^2G & \xrightarrow{F\lambda} & FGF \xrightarrow{\lambda F} GF^2 \\ \mu G \downarrow & & \downarrow G\mu \\ FG & \xrightarrow{\lambda} & GF \end{array} \quad \begin{array}{ccc} & G & \\ \eta G \swarrow & & \searrow G\eta \\ FG & \xrightarrow{\lambda} & GF \end{array}$$

## Distributive Laws [Beck 69]

- ▶ originally intended for monad composition
- ▶ can lift a  $G$ -coalgebra  $(X, \gamma)$  to a  $G$ -coalgebra  $(FX, \lambda_X \circ F\gamma)$
- ▶ can lift an  $F$ -algebra  $(X, \alpha)$  to an  $F$ -algebra  $(GX, G\alpha \circ \lambda_X)$
- ▶ these are endofunctors

$$\hat{F} : G\text{-Coalg} \rightarrow G\text{-Coalg} \quad \hat{G} : F\text{-Alg} \rightarrow F\text{-Alg}$$

$$\begin{array}{ccc} FX & \xrightarrow{\lambda_X \circ F\gamma} & GFX \\ \alpha \downarrow & & \downarrow G\alpha \\ X & \xrightarrow{\gamma} & GX \end{array} \qquad \begin{array}{ccc} FGX & \xrightarrow{G\alpha \circ \lambda_X} & GX \\ F\gamma \downarrow & & \downarrow \gamma \\ FX & \xrightarrow{\alpha} & X \end{array}$$

## $F, G$ -bialgebras [Jacobs 06]

An  $F, G$ -bialgebra is a structure  $(X, \alpha, \gamma)$  such that

- ▶  $(X, \alpha)$  is an  $F$ -algebra
- ▶  $(X, \gamma)$  is a  $G$ -coalgebra
- ▶ the two structures cohere as expressed by

$$\begin{array}{ccccc} FX & \xrightarrow{\alpha} & X & \xrightarrow{\gamma} & GX \\ F\gamma \downarrow & & & & \uparrow G\alpha \\ FGX & \xrightarrow{\lambda_X} & & & GFX \end{array}$$

- ▶  $\alpha$  becomes a  $G$ -coalgebra morphism  $\hat{\alpha} : \hat{F}(X, \gamma) \rightarrow (X, \gamma)$
- ▶  $\gamma$  becomes an  $F$ -algebra morphism  $\hat{\gamma} : (X, \alpha) \rightarrow \hat{G}(X, \alpha)$

# This Talk

- ▶ focus on KA-like structures
  - ▶  $F$  = variants of regular expressions
  - ▶  $G$  = variants of automata
- ▶ establish the **syntactic Brzozowski derivative** as the appropriate distributive law
- ▶ a (very) slight generalization of the usual syntactic Brzozowski derivative
- ▶ lots of examples!

## Lambek's lemma [Lambek 1968]

### Lemma

The structure map of an initial  $F$ -algebra is invertible. The structure map of a final  $F$ -coalgebra is invertible.

Let  $(X, \alpha)$  be an initial  $F$ -algebra. There is a unique  $F$ -algebra morphism  $\alpha^{-1}(X, \alpha) \rightarrow (FX, F\alpha)$

$$\begin{array}{ccccc} X & \xrightarrow{\alpha^{-1}} & FX & \xrightarrow{\alpha} & X \\ \alpha \uparrow & & \uparrow F\alpha & & \uparrow \alpha \\ FX & \xrightarrow{F\alpha^{-1}} & F^2X & \xrightarrow{F\alpha} & FX \end{array}$$

## Lambek's lemma [Lambek 1968]

- ▶ Key observation: commutativity of the left-hand square

$$\begin{array}{ccccc} X & \xrightarrow{\alpha^{-1}} & FX & \xrightarrow{\alpha} & X \\ \alpha \uparrow & & F\alpha \uparrow & & \alpha \uparrow \\ FX & \xrightarrow{F\alpha^{-1}} & F^2X & \xrightarrow{F\alpha} & FX \end{array}$$

- ▶ This is just the bialgebra diagram with  $F = G$  and  $\lambda_X = \text{id}_{F^2X}$

$$\begin{array}{ccccc} FX & \xrightarrow{\alpha} & X & \xrightarrow{\alpha^{-1}} & FX \\ F\alpha^{-1} \downarrow & & & & F\alpha \uparrow \\ F^2X & \xrightarrow{\text{id}_{F^2X}} & F^2X & & \end{array}$$

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

Ordinary DFA with states  $X$

$$\iota : 1 \rightarrow X \quad \delta_a : X \rightarrow X \quad \varepsilon : X \rightarrow 2$$

$(X, \varepsilon, \delta)$  is a coalgebra for the functor  $G = 2 \times (-)^\Sigma$

### Acceptance

- ▶  $\delta : \Sigma \rightarrow X \rightarrow X$  extends uniquely to a monoid homomorphism  $\delta : \Sigma^* \rightarrow X \rightarrow X$
- ▶ for any  $w \in \Sigma^*$ ,  $\varepsilon \circ \delta_w \circ \iota : 1 \rightarrow 2$
- ▶  $w$  is accepted if the value of this function is 1

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

Nondeterministic automaton: similar, except

$$\iota : 1 \rightarrow 2^X \quad \delta_a : X \rightarrow 2^X \quad \varepsilon : X \rightarrow 2$$

$(X, \varepsilon, \delta)$  is a coalgebra for the functor  $GP = 2 \times (P(-))^\Sigma$

### Acceptance

- ▶  $\delta : \Sigma \rightarrow X \rightarrow 2^X$  extends uniquely to a monoid homomorphism  $\delta : \Sigma^* \rightarrow X \rightarrow 2^X$  using **Kleisli composition**  
 $g \bullet f = \mu_X^P \circ Pg \circ f$
- ▶ for any  $w \in \Sigma^*$ ,  $\varepsilon \bullet \delta_w \bullet \iota : 1 \rightarrow 2$
- ▶  $w$  is accepted if the value of this function is 1

# Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

Classical determinization: **subset construction** [Rabin & Scott 59]

...which amounts to **Kleisli lifting**

$$\begin{array}{lll} \delta_a : X \rightarrow 2^X & \Rightarrow & \delta_a^\dagger = \mu_X^P \circ P\delta_a : 2^X \rightarrow 2^X \\ \varepsilon : X \rightarrow 2 & \Rightarrow & \varepsilon^\dagger = \mu_1^P \circ P\varepsilon : 2^X \rightarrow 2 \end{array}$$

giving

$$\iota : 1 \rightarrow 2^X \quad \delta_a^\dagger : 2^X \rightarrow 2^X \quad \varepsilon^\dagger : 2^X \rightarrow 2$$

$(2^X, \varepsilon^\dagger, \delta^\dagger)$  is a coalgebra for the functor  $G = 2 \times (-)^\Sigma$

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

- ▶ The more abstract construction applies to any monad  $(F, \mu, \eta)$  on Set
- ▶ models an abstract branching structure in the same way the powerset monad models nondeterminism
- ▶ many examples in automata theory and coalgebraic modal logic

Let  $G = B \times (-)^\Sigma$

$(B, \beta)$  observations,  $\beta : FB \rightarrow B$

$GF$ -automaton with components

$$\iota : 1 \rightarrow FX \quad \delta_a : X \rightarrow FX \quad \varepsilon : X \rightarrow B$$

analog of nondeterministic automata with  $F = P$  and  $B = 2$

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

Can determinize by Kleisli lifting to get

$$\iota : 1 \rightarrow FX \quad \delta_a^\dagger : FX \rightarrow FX \quad \varepsilon^\dagger : FX \rightarrow B$$

where

$$\delta_a^\dagger = \mu_X \circ F\delta_a \quad \varepsilon^\dagger : FX \rightarrow B$$

$(FX, \delta^\dagger, \varepsilon^\dagger)$  is a  $G$ -coalgebra with observations  $B$

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

What makes this work, and how general is it?

Consider the distributive law  $\lambda : FG \rightarrow GF$  given by

$$\lambda_Y : F(B \times Y^\Sigma) \xrightarrow{\langle F\pi_1, F\pi_2 \rangle} FB \times F(Y^\Sigma) \xrightarrow{\beta \times \langle F\pi_a \mid a \in \Sigma \rangle} B \times (FY)^\Sigma$$

If  $Y$  carries an  $F$ -algebra structure  $\alpha : FY \rightarrow Y$ , we have a bialgebra

$$\begin{array}{ccccc} FY & \xrightarrow{\alpha} & Y & \xrightarrow{\gamma} & B \times Y^\Sigma \\ F\gamma \downarrow & & & & \uparrow G\alpha \\ F(B \times Y^\Sigma) & \xrightarrow{\lambda_Y} & & & B \times (FY)^\Sigma \end{array}$$

Apply with  $(Y, \alpha) = (FX, \mu_X)$ ,  $\gamma = (\beta \circ F\varepsilon, \mu_X \circ F\delta_a)$

## Determinization [Bartels 04, Jacobs 06, Silva et al. 10]

However,  $(Y, \alpha)$  is not limited to free algebras  $(FX, \mu_X)$ ;  
any  $F$ -algebra can appear here

Example: alternating automata [Bezhanishvili et al. 20] based on the double contravariant powerset monad

$$\iota : 1 \rightarrow 2^{2^X} \quad \delta_a : X \rightarrow 2^{2^X} \quad \varepsilon : X \rightarrow 2$$

determinized to

$$\iota : 1 \rightarrow 2^{2^X} \quad \delta_a^\dagger : 2^{2^X} \rightarrow 2^{2^X} \quad \varepsilon^\dagger : 2^{2^X} \rightarrow 2.$$

where

$$\delta_a^\dagger = \mu_X^N \circ N\delta_a \quad \varepsilon^\dagger = \mu_0^N \circ N\varepsilon$$

# Kleene Algebra

## Idempotent Semiring Axioms

$$p + (q + r) = (p + q) + r$$

$$p(qr) = (pq)r$$

$$p + q = q + p$$

$$1p = p1 = p$$

$$p + 0 = p$$

$$p0 = 0p = 0$$

$$p + p = p$$

$$p(q + r) = pq + pr$$

$$a \leq b \stackrel{\triangle}{\iff} a + b = b$$

$$(p + q)r = pr + qr$$

## Axioms for $*$

$$1 + pp^* \leq p^*$$

$$q + px \leq x \Rightarrow p^*q \leq x$$

$$1 + p^*p \leq p^*$$

$$q + xp \leq x \Rightarrow qp^* \leq x$$

## Brzozowski Derivatives [Brzozowski 64, Rutten 99, Silva 10]

A DFA over  $\Sigma$  is a coalgebra for the functor  $G = 2 \times (-)^\Sigma$

A coalgebra consists of a pair of maps  $(\varepsilon, \delta) : X \rightarrow GX$

$$\varepsilon : X \rightarrow 2$$

$$\delta : X \rightarrow X^\Sigma$$

observations and actions, respectively

The final coalgebra is the semantic Brzozowski derivative

$$\varepsilon : 2^{\Sigma^*} \rightarrow 2$$

$$\varepsilon(A) = [\varepsilon \in A]^1$$

$$\delta_a : 2^{\Sigma^*} \rightarrow 2^{\Sigma^*}$$

$$\delta_a(A) = \{x \mid ax \in A\}$$

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<sup>1</sup>Iverson bracket:  $[\varphi] = 1$  if  $\varphi$  is true, 0 otherwise

## Brzozowski Derivatives [Brzozowski 64, Rutten 99, Silva 10]

$$E : \text{Exp}_{\Sigma} \rightarrow 2 \quad D_a : \text{Exp}_{\Sigma} \rightarrow \text{Exp}_{\Sigma}, a \in \Sigma$$

$$E(e_1 + e_2) = E(e_1) + E(e_2) \quad D_a(e_1 + e_2) = D_a(e_1) + D_a(e_2)$$

$$E(e_1 e_2) = E(e_1) \cdot E(e_2) \quad D_a(e_1 e_2) = D_a(e_1)e_2 + E(e_1)D_a(e_2)$$

$$E(e^*) = 1 \quad D_a(e^*) = D_a(e)e^*$$

$$E(1) = 1 \quad D_a(1) = D_a(0) = 0$$

$$E(0) = E(a) = 0, a \in \Sigma \quad D_a(b) = [b = a], a, b \in \Sigma$$

- ▶ this is a coalgebra  $\text{Exp}_{\Sigma} \rightarrow G(\text{Exp}_{\Sigma})$
- ▶  $L(e) = \{\text{language represented by } e\}$  is the unique coalgebra morphism  $L : \text{Exp}_{\Sigma} \rightarrow 2^{\Sigma^*}$
- ▶ used in Brzozowski's proof of Kleene's theorem

# KA Bialgebras

To relate KA and finite automata bialgebraically:

- ▶  $F = \text{Exp}_{\Sigma}$ , where  $\text{Exp}_{\Sigma} X$  is the set of regular expressions over primitive actions  $X$  with constant actions  $\Sigma$
- ▶  $G = 2 \times (-)^{\Sigma}$ , the coalgebraic signature of ordinary DFAs

Distributive law:

(a slight generalization of) the **syntactic Brzozowski derivative**

$$\text{Brz} : \text{Exp}_{\Sigma}(2 \times (-)^{\Sigma}) \rightarrow 2 \times (\text{Exp}_{\Sigma}(-))^{\Sigma}$$

The traditional Brzozowski derivative is  $\text{Brz}_{\emptyset}$

# KA Bialgebras

$$\text{Brz} : \text{Exp}_{\Sigma}(2 \times (-)^{\Sigma}) \rightarrow 2 \times (\text{Exp}_{\Sigma}(-))^{\Sigma}$$

usually presented in curried form

$$E : \text{Exp}_{\Sigma}(2 \times (-)^{\Sigma}) \rightarrow 2 \quad D_p : \text{Exp}_{\Sigma}(2 \times (-)^{\Sigma}) \rightarrow \text{Exp}_{\Sigma}(-), p \in \Sigma$$

$$E(e_1 + e_2) = E(e_1) + E(e_2) \quad D_p(e_1 + e_2) = D_p(e_1) + D_p(e_2)$$

$$E(e_1 e_2) = E(e_1)E(e_2) \quad D_p(e_1 e_2) = D_p(e_1)e_2 + E(e_1)D_p(e_2)$$

$$E(e^*) = 1 \quad D_p(e^*) = D_p(e)e^*$$

$$E(0) = E(p) = 0 \quad D_p(0) = D_p(1) = 0$$

$$E(1) = 1 \quad D_p(q) = [p = q]$$

$$E(i, f) = i \quad D_p(i, f) = f(p)$$

where  $p, q \in \Sigma$  and  $(i, f) \in 2 \times X^{\Sigma}$

# KA Bialgebras

The bialgebra diagram becomes

$$\begin{array}{ccccc} \text{Exp}_\Sigma X & \xrightarrow{\alpha} & X & \xrightarrow{(\varepsilon, \delta)} & 2 \times X^\Sigma \\ (-)[(\varepsilon(x), \delta(x))/x] \downarrow & & & & \uparrow \text{id}_2 \times (\alpha \circ -) \\ \text{Exp}_\Sigma(2 \times X^\Sigma) & \xrightarrow{\text{Brz}_X} & & & 2 \times (\text{Exp}_\Sigma X)^\Sigma \end{array}$$

Intuitively,

- ▶ if you give me a regular expression  $e \in \text{Exp}_\Sigma X$  and tell me how to perform derivatives on elements of  $X$  using some  $(\varepsilon, \delta) : X \rightarrow 2 \times X^\Sigma$ , then ...
- ▶ I will tell you how to get the derivative of  $e$  by substituting  $(\varepsilon(x), \delta(x))$  for  $x$  in  $e$  to get  $e' \in \text{Exp}_\Sigma(2 \times X^\Sigma)$ , then applying the traditional Brzozowski derivative to  $e'$ .

# KA Bialgebras

## Examples

- $\text{Reg}_\Sigma$ , the family of regular subsets of  $\Sigma^*$

$$\begin{array}{ccccc} \text{Exp}_\Sigma \text{Reg}_\Sigma & \xrightarrow{\alpha} & \text{Reg}_\Sigma & \xrightarrow{(\varepsilon, \delta)} & 2 \times (\text{Reg}_\Sigma)^\Sigma \\ (-)[(\delta(A), \varepsilon(A))/A] \downarrow & & & & \uparrow \text{id}_2 \times (\alpha \circ -) \\ \text{Exp}_\Sigma(2 \times (\text{Reg}_\Sigma)^\Sigma) & \xrightarrow{\text{Brz}_{\text{Reg}_\Sigma}} & & & 2 \times (\text{Exp}_\Sigma \text{Reg}_\Sigma)^\Sigma \end{array}$$

- $2^{\Sigma^*}$ , the final coalgebra

$$\begin{array}{ccccc} \text{Exp}_\Sigma 2^{\Sigma^*} & \xrightarrow{\alpha} & 2^{\Sigma^*} & \xrightarrow{(\varepsilon, \delta)} & 2 \times (2^{\Sigma^*})^\Sigma \\ (-)[(\varepsilon(A), \delta(A))/A] \downarrow & & & & \uparrow \text{id}_2 \times (\alpha \circ -) \\ \text{Exp}_\Sigma(2 \times (2^{\Sigma^*})^\Sigma) & \xrightarrow{\text{Brz}_{2^{\Sigma^*}}} & & & 2 \times (\text{Exp}_\Sigma 2^{\Sigma^*})^\Sigma \end{array}$$

# KA Bialgebras

Here  $(\varepsilon, \delta) : 2^{\Sigma^*} \rightarrow 2 \times (2^{\Sigma^*})^\Sigma$  is the semantic Brzozowski derivative

$$\varepsilon : 2^{\Sigma^*} \rightarrow 2$$

$$\delta_p : 2^{\Sigma^*} \rightarrow 2^{\Sigma^*}$$

$$\varepsilon(A) = [\varepsilon \in A]$$

$$\delta_p(A) = \{x \in \Sigma^* \mid px \in A\}$$

and  $\alpha$  is the usual evaluation function

$$\alpha(e_1 + e_2) = \alpha(e_1) \cup \alpha(e_2)$$

$$\alpha(0) = \emptyset$$

$$\alpha(e_1 e_2) = \{xy \mid x \in \alpha(e_1), y \in \alpha(e_2)\}$$

$$\alpha(1) = \{\varepsilon\}$$

$$\alpha(e^*) = \bigcup_n \alpha(e^n)$$

$$\alpha(p) = \{p\}$$

$$\alpha(A) = A$$

# To check that Brz is a distributive law ...

$$\begin{array}{ccc}
 \text{Exp}_\Sigma(2 \times X^\Sigma) & \xrightarrow{\text{Brz}_X} & 2 \times (\text{Exp}_\Sigma X)^\Sigma \\
 (-)[f(x)/x] \downarrow & & \downarrow (-)[f(x)/x] \\
 \text{Exp}_\Sigma(2 \times Y^\Sigma) & \xrightarrow{\text{Brz}_Y} & 2 \times (\text{Exp}_\Sigma Y)^\Sigma
 \end{array}$$
  

$$\begin{array}{ccccc}
 \text{Exp}_\Sigma(\text{Exp}_\Sigma(2 \times X^\Sigma)) & \xrightarrow{F \text{ Brz}_X} & \text{Exp}_\Sigma(2 \times (\text{Exp}_\Sigma X)^\Sigma) & \xrightarrow{\text{Brz}_{FX}} & 2 \times (\text{Exp}_\Sigma(\text{Exp}_\Sigma X))^\Sigma \\
 \mu G \downarrow & & & & \downarrow G\mu \\
 \text{Exp}_\Sigma(2 \times X^\Sigma) & \xrightarrow{\text{Brz}_X} & & & 
 \end{array}$$
  

$$\begin{array}{ccc}
 & 2 \times X^\Sigma & \\
 \eta_{GX} \swarrow & & \searrow G\eta_X \\
 \text{Exp}_\Sigma(2 \times X^\Sigma) & \xrightarrow{\text{Brz}_X} & 2 \times (\text{Exp}_\Sigma X)^\Sigma
 \end{array}$$

# Kleene Algebra with Tests (KAT)

$(K, B, +, \cdot, ^*, \bar{\phantom{a}}, 0, 1)$ ,  $B \subseteq K$

- ▶  $(K, +, \cdot, ^*, 0, 1)$  is a Kleene algebra
- ▶  $(B, +, \cdot, \bar{\phantom{a}}, 0, 1)$  is a Boolean algebra
- ▶  $(B, +, \cdot, 0, 1)$  is a subalgebra of  $(K, +, \cdot, 0, 1)$
  
- ▶ encodes imperative programming constructs
- ▶ subsumes Hoare logic

$p; q$

`if b then p else q`

`while b do p`

$\{b\} p \{c\}$

$pq$

$bp + \bar{b}q$

$(bp)^* \bar{b}$

$bp \leq pc, bp = bpc, bp\bar{c} = 0$

$\frac{\{bc\} p \{c\}}{\{c\} \text{while } b \text{ do } p \{\bar{b}c\}}$

$bcp\bar{c} = 0 \Rightarrow (c(bp)^* \bar{b})^{\bar{b}} = 0$

# Guarded Strings [Kaplan 69]

$\Sigma$  action symbols

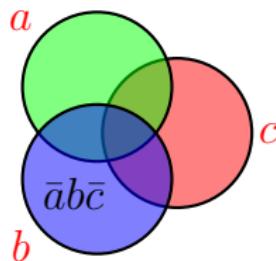
$T$  test symbols

$B$  = free Boolean algebra generated by  $T$

At = atoms of  $B$  =  $\{\alpha, \beta, \dots\}$

Guarded strings GS = At  $\cdot$  ( $\Sigma \cdot$  At) $^*$

$\alpha_0 p_1 \alpha_1 p_2 \alpha_2 \cdots \alpha_{n-1} p_n \alpha_n$



# Standard Language Model for KAT

Regular sets of guarded strings over  $\Sigma, T$

For  $A, B \subseteq \text{GS}$ ,

$$A + B = A \cup B \quad AB = \{x\alpha y \mid x\alpha \in A, \alpha y \in B\}$$

$$A^* = \bigcup_{n \geq 0} A^n = A^0 \cup A^1 \cup A^2 \cup \dots$$

$$1 = \text{At} \quad 0 = \emptyset$$

- ▶  $p \in \Sigma$  interpreted as  $\{\alpha p \beta \mid \alpha, \beta \in \text{At}\}$
- ▶  $b \in T$  interpreted as  $\{\alpha \mid \alpha \leq b\}$

The regular subsets of GS forms the **free KAT** on generators  $\Sigma, T$

# KAT Coalgebras

KAT automata = automata on guarded strings  
coalgebras for the functor  $G = 2^{\text{At}} \times (-)^{\text{At} \times \Sigma}$

$$\varepsilon : X \rightarrow 2^{\text{At}}$$

$$\delta : X \rightarrow X^{\text{At} \times \Sigma}$$

The final coalgebra is

$$\varepsilon : 2^{\text{GS}} \rightarrow 2^{\text{At}}$$

$$\delta : 2^{\text{GS}} \rightarrow (2^{\text{GS}})^{\text{At} \times \Sigma}$$

$$\varepsilon_\alpha(A) = [\alpha \in A]$$

$$\delta_{\alpha p}(A) = \{x \mid \alpha px \in A\}$$

This is the **semantic Brzozowski derivative**

# KAT Bialgebras

## Functors:

- ▶  $F = \text{Exp}_{\Sigma, B}$ , where  $\text{Exp}_{\Sigma, B} X = \text{KAT expressions over indeterminate actions } X, \text{ constant actions } \Sigma, \text{ tests } B$
- ▶  $G = 2^{\text{At}} \times (-)^{\text{At} \times \Sigma}$ , the signature of automata on guarded strings

Distributive law: the syntactic Brzozowski derivative

$$\text{Brz} : \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (-)^{\text{At} \times \Sigma}) \rightarrow 2^{\text{At}} \times (\text{Exp}_{\Sigma, B}(-))^{\text{At} \times \Sigma}$$

usually presented in curried form

$$E_\alpha : \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (-)^{\text{At} \times \Sigma}) \rightarrow 2$$

$$D_{\alpha p} : \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (-)^{\text{At} \times \Sigma}) \rightarrow \text{Exp}_{\Sigma, B}(-)$$

for  $\alpha \in \text{At}$  and  $p \in \Sigma$

# KAT Bialgebras

$$E_\alpha : \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (-)^{\text{At} \times \Sigma}) \rightarrow 2$$

$$D_{\alpha p} : \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (-)^{\text{At} \times \Sigma}) \rightarrow \text{Exp}_{\Sigma, B}(-)$$

$$E_\alpha(e_1 + e_2) = E_\alpha(e_1) + E_\alpha(e_2)$$

$$E_\alpha(0) = E_\alpha(p) = 0$$

$$E_\alpha(e_1 e_2) = E_\alpha(e_1) E_\alpha(e_2)$$

$$E_\alpha(1) = 1$$

$$E_\alpha(e^*) = 1$$

$$\color{red}E_\alpha(i, f) = i(\alpha)$$

$$D_{\alpha p}(e_1 + e_2) = D_{\alpha p}(e_1) + D_{\alpha p}(e_2)$$

$$D_{\alpha p}(0) = D_{\alpha p}(1) = 0$$

$$D_{\alpha p}(e_1 e_2) = D_{\alpha p}(e_1) e_2 + E_\alpha(e_1) D_{\alpha p}(e_2)$$

$$D_{\alpha p}(q) = [p = q]$$

$$D_{\alpha p}(e^*) = D_{\alpha p}(e) e^*$$

$$\color{red}D_{\alpha p}(i, f) = f(\alpha p)$$

where  $p, q \in \Sigma$  and  $(i, f) \in 2^{\text{At}} \times (-)^{\text{At} \times \Sigma}$

# KAT Bialgebras

## Examples

- ▶ final coalgebra  $2^{\text{GS}}$

$$\begin{array}{ccccc} \text{Exp}_{\Sigma, B} 2^{\text{GS}} & \xrightarrow{\sigma} & 2^{\text{GS}} & \xrightarrow{(\varepsilon, \delta)} & 2^{\text{At}} \times (2^{\text{GS}})^{\text{At} \times \Sigma} \\ (-)[(\varepsilon(A), \delta(A))/A] \downarrow & & & & \uparrow \text{id}_{2^{\text{At}}} \times (\sigma \circ -) \\ \text{Exp}_{\Sigma, B}(2^{\text{At}} \times (2^{\text{GS}})^{\text{At} \times \Sigma}) & \xrightarrow{\text{Brz}_{2^{\text{GS}}}} & 2^{\text{At}} \times (\text{Exp}_{\Sigma, B} 2^{\text{GS}})^{\text{At} \times \Sigma} & & \end{array}$$

- ▶  $\text{Reg}_\Sigma = \text{regular subsets of GS}$

$$\begin{array}{ccccc} \text{Exp}_\Sigma \text{Reg}_\Sigma & \xrightarrow{\sigma} & \text{Reg}_\Sigma & \xrightarrow{(\varepsilon, \delta)} & 2^{\text{At}} \times (\text{Reg}_\Sigma)^\Sigma \\ (-)[(\delta(A), \varepsilon(A))/A] \downarrow & & & & \uparrow \text{id}_{2^{\text{At}}} \times (\sigma \circ -) \\ \text{Exp}_\Sigma(2^{\text{At}} \times (\text{Reg}_\Sigma)^\Sigma \times 2) & \xrightarrow{\text{Brz}_{\text{Reg}_\Sigma}} & 2^{\text{At}} \times (\text{Exp}_\Sigma \text{Reg}_\Sigma)^\Sigma & & \end{array}$$

## KAT Bialgebras

$(\varepsilon, \delta) : 2^{\text{GS}} \rightarrow 2^{\text{At}} \times (2^{\text{GS}})^{\text{At} \times \Sigma}$  is the semantic Brzozowski derivative, where

$$\varepsilon_\alpha : 2^{\text{GS}} \rightarrow 2$$

$$\delta_{\alpha p} : 2^{\text{GS}} \rightarrow 2^{\text{GS}}$$

$$\varepsilon_\alpha(A) = [\alpha \in A]$$

$$\delta_{\alpha p}(A) = \{x \in \Sigma^* \mid \alpha px \in A\}$$

$\sigma$  is the usual evaluation function on regular expressions over subsets of GS

$$\sigma(e_1 + e_2) = \sigma(e_1) \cup \sigma(e_2)$$

$$\sigma(0) = \emptyset$$

$$\sigma(e_1 e_2) = \{x\alpha y \mid x\alpha \in \sigma(e_1), \alpha y \in \sigma(e_2)\}$$

$$\sigma(1) = \text{At}$$

$$\sigma(e^*) = \bigcup_n \sigma(e^n)$$

$$\sigma(A) = A$$

$$\sigma(p) = \{\alpha p \beta \mid \alpha, \beta \in \text{At}\}$$

$\sigma(e) = \{\text{language represented by } e\}$  is the unique coalgebra morphism  $e : \text{Exp} \rightarrow 2^{\text{GS}}$

## NetKAT [Anderson et al. 2013]

A programming language/logic for programmable networks

- ▶ primitives for modifying and filtering on packet header values, duplicating and dropping packets
- ▶ duplication (+), sequential composition ( $\cdot$ ), iteration (\*)
- ▶ can specify network topology and routing, end-to-end behavior, access control
- ▶ integrated as part of the Frenetic suite of network management tools [Foster et al. 10]

# NetKAT Axioms

Actions  $x := n$ , tests  $x = n$

- ▶  $x := n; y := m \equiv y := m; x := n \ (x \neq y)$
- ▶  $x := n; y = m \equiv y = m; x := n \ (x \neq y)$
- ▶  $x = n; \text{dup} \equiv \text{dup}; x = n$
- ▶  $x := n; x = n \equiv x := n$
- ▶  $x = n; x := n \equiv x = n$
- ▶  $x := n; x := m \equiv x := m$
- ▶  $x = n; x = m \equiv \text{drop} \ (n \neq m)$
- ▶  $(\sum_n x = n) \equiv \text{skip}$

# Reduced Axioms

Actions  $p \in P$ , atoms  $\alpha \in \text{At}$

- ▶  $p = (x_1 := n_1; \dots; x_k := n_k)$
- ▶  $\alpha_p = (x_1 = n_1; \dots; x_k = n_k)$
  
- ▶  $\alpha \text{ dup} \equiv \text{dup } \alpha$
- ▶  $p\alpha_p = p$
- ▶  $\alpha_pp = \alpha_p$
- ▶  $qp = p$

# Standard Model

Standard model of NetKAT is a packet-forwarding model

$$[\![e]\!] : H \rightarrow 2^H$$

where  $H = \{\text{packet traces}\}$

- ▶  $+$  is **conjunctive**
- ▶ sequential composition is Kleisli composition

Remarkably, satisfies all the KAT axioms!

# Language Model

Regular sets of NetKAT reduced strings

$$\text{NS} = \text{At} \cdot P \cdot (\text{dup} \cdot P)^* \quad \alpha p_0 \text{ dup } p_1 \text{ dup } \cdots \text{ dup } p_n$$

For  $A, B \subseteq \text{NS}$ ,

$$A + B = A \cup B \quad AB = \{\alpha xyq \mid \alpha xp \in A, \alpha_p yq \in B\}$$

$$A^* = \bigcup_{n \geq 0} A^n \quad 1 = \{\alpha_p p \mid p \in P\} \quad 0 = \emptyset$$

- ▶  $p \in P$  interpreted as  $\sum_{\alpha} \alpha p$
- ▶  $\alpha \in \text{At}$  interpreted as  $\alpha p_{\alpha}$
- ▶  $\text{dup}$  interpreted as  $\sum_p \alpha_p p \text{ dup } \alpha_p$

This is the free NetKAT on its generating set

## NetKAT Coalgebra [Foster et al. 14]

NetKAT automata/coalgebras are coalgebras for the functor  
 $G = 2^{\text{At} \times \text{At}} \times (-)^{\text{At} \times \text{At}}$

$$\varepsilon : S \rightarrow 2^{\text{At} \times \text{At}}$$

$$\delta : S \rightarrow S^{\text{At} \times \text{At}}$$

The final coalgebra is

$$\varepsilon : 2^{\text{NS}} \rightarrow 2^{\text{At} \times \text{At}}$$

$$\delta : 2^{\text{NS}} \rightarrow (2^{\text{NS}})^{\text{At} \times \text{At}}$$

$$\varepsilon_{\alpha\beta}(A) = [\alpha p_\beta \in A] \quad \delta_{\alpha\beta}(A) = \{\beta x \mid \alpha p_\beta \text{ dup } x \in A\}$$

# NetKAT Bialgebras

## Functors

- ▶  $F = \text{NExp}_{P,B}$  = NetKAT expressions over indeterminate actions  $X$ , constant actions  $P$ , tests  $B$
- ▶  $G = 2^{\text{At} \times \text{At}} \times (-)^{\text{At} \times \text{At}}$ , the signature of NetKAT automata

Distributive law: the syntactic Brzozowski derivative

$$\text{Brz} : \text{NExp}_{P,B}(2^{\text{At} \times \text{At}} \times (-)^{\text{At} \times \text{At}}) \rightarrow 2^{\text{At} \times \text{At}} \times (\text{NExp}_{P,B}(-))^{\text{At} \times \text{At}}$$

$$E_{\alpha\beta} : \text{NExp}_{P,B}(2^{\text{At} \times \text{At}} \times (-)^{\text{At} \times \text{At}}) \rightarrow 2$$

$$D_{\alpha\beta} : \text{NExp}_{P,B}(2^{\text{At} \times \text{At}} \times (-)^{\text{At} \times \text{At}}) \rightarrow \text{NExp}_{P,B}(-)$$

for  $\alpha, \beta \in \text{At}$

# NetKAT Bialgebras

$$E_{\alpha\beta}(p) = [p = p_\beta]$$

$$D_{\alpha\beta}(p) = 0$$

$$E_{\alpha\beta}(b) = [\alpha = \beta \leq b]$$

$$D_{\alpha\beta}(b) = 0$$

$$E_{\alpha\beta}(dup) = 0$$

$$D_{\alpha\beta}(dup) = \alpha \cdot [\alpha = \beta]$$

$$E_{\alpha\beta}(g, f) = g(\alpha, \beta)$$

$$D_{\alpha\beta}(g, f) = f(\alpha, \beta)$$

where  $p \in P$ ,  $b \in B$ , and  $(g, f) \in 2^{\text{At} \times \text{At}} \times X^{\text{At} \times \text{At}}$

$$E_{\alpha\beta}(e_1 + e_2) = E_{\alpha\beta}(e_1) + E_{\alpha\beta}(e_2)$$

$$E_{\alpha\beta}(e_1 e_2) = \sum_{\gamma} E_{\alpha\gamma}(e_1) \cdot E_{\gamma\beta}(e_2)$$

$$E_{\alpha\beta}(e^*) = [\alpha = \beta] + \sum_{\gamma} E_{\alpha\gamma}(e) \cdot E_{\gamma\beta}(e^*)$$

$$D_{\alpha\beta}(e_1 + e_2) = D_{\alpha\beta}(e_1) + D_{\alpha\beta}(e_2)$$

$$D_{\alpha\beta}(e_1 e_2) = D_{\alpha\beta}(e_1) \cdot e_2 + \sum_{\gamma} E_{\alpha\gamma}(e_1) \cdot D_{\gamma\beta}(e_2)$$

$$D_{\alpha\beta}(e^*) = D_{\alpha\beta}(e) \cdot e^* + \sum_{\gamma} E_{\alpha\gamma}(e) \cdot D_{\gamma\beta}(e^*)$$

# NetKAT Bialgebras

$$\begin{array}{ll} E_{\alpha\beta}(p) = [p = p_\beta] & D_{\alpha\beta}(p) = 0 \\ E_{\alpha\beta}(b) = [\alpha = \beta \leq b] & D_{\alpha\beta}(b) = 0 \\ E_{\alpha\beta}(dup) = 0 & D_{\alpha\beta}(dup) = \alpha \cdot [\alpha = \beta] \\ E_{\alpha\beta}(g, f) = g(\alpha, \beta) & D_{\alpha\beta}(g, f) = f(\alpha, \beta) \end{array}$$

where  $p \in P$ ,  $b \in B$ , and  $(g, f) \in 2^{\text{At} \times \text{At}} \times X^{\text{At} \times \text{At}}$

$$E_{\alpha\beta}(e_1 + e_2) = E_{\alpha\beta}(e_1) + E_{\alpha\beta}(e_2)$$

$$E_{\alpha\beta}(e_1 e_2) = \sum_{\gamma} E_{\alpha\gamma}(e_1) \cdot E_{\gamma\beta}(e_2)$$

$$E_{\alpha\beta}(e^*) = [\alpha = \beta] + \sum_{\gamma} E_{\alpha\gamma}(e) \cdot E_{\gamma\beta}(e^*) \quad \text{circular!}$$

$$D_{\alpha\beta}(e_1 + e_2) = D_{\alpha\beta}(e_1) + D_{\alpha\beta}(e_2)$$

$$D_{\alpha\beta}(e_1 e_2) = D_{\alpha\beta}(e_1) \cdot e_2 + \sum_{\gamma} E_{\alpha\gamma}(e_1) \cdot D_{\gamma\beta}(e_2)$$

$$D_{\alpha\beta}(e^*) = D_{\alpha\beta}(e) \cdot e^* + \sum_{\gamma} E_{\alpha\gamma}(e) \cdot D_{\gamma\beta}(e^*) \quad \text{circular!}$$

# NetKAT Bialgebras

Use matrix operations on  $\text{At} \times \text{At}$  matrices! [Foster et al. 15]

$$E(e_1 + e_2) = E(e_1) + E(e_2)$$

$$E(e_1 e_2) = E(e_1) \cdot E(e_2)$$

$$E(e^*) = I(1) + E(e) \cdot E(e^*)$$

$$D(e_1 + e_2) = D(e_1) + D(e_2)$$

$$D(e_1 e_2) = D(e_1) \cdot I(e_2) + E(e_1) \cdot D(e_2)$$

$$D(e^*) = D(e) \cdot I(e^*) + E(e) \cdot D(e^*)$$

so for  $E(e^*)$  and  $D(e^*)$  we can take

$$E(e^*) = E(e)^* \quad D(e^*) = E(e)^* \cdot D(e) \cdot I(e^*)$$

# NetKAT Bialgebras

$$\begin{array}{ccccc} \mathbf{NExp}_{P,B} 2^{\text{NS}} & \xrightarrow{\sigma} & 2^{\text{NS}} & \xrightarrow{(\varepsilon, \delta)} & 2^{\text{At} \times \text{At}} \times (2^{\text{NS}})^{\text{At} \times \text{At}} \\ (-)[(\varepsilon(A), \delta(A))/A] \downarrow & & & & \uparrow \mathbf{id}_{2^{\text{At} \times \text{At}}} \times (\sigma \circ -)^{\text{At} \times \text{At}} \\ \mathbf{NExp}_{P,B}(2^{\text{At} \times \text{At}} \times (2^{\text{NS}})^{\text{At} \times \text{At}}) & \xrightarrow{\text{Brz}_{2^{\text{NS}}}} & 2^{\text{At} \times \text{At}} \times (\mathbf{NExp}_{P,B} 2^{\text{NS}})^{\text{At} \times \text{At}} \end{array}$$

$(\varepsilon, \delta) : 2^{\text{NS}} \rightarrow 2^{\text{At} \times \text{At}} \times (2^{\text{NS}})^{\text{At} \times \text{At}}$  is the semantic derivative

$\varepsilon(A)_{\alpha\beta} = [\alpha p_\beta \in A] \quad \delta(A)_{\alpha\beta} = \{\beta x \mid \alpha p_\beta \text{ dup } x \in A\}$

$\sigma : \{\text{NetKAT expressions}\} \rightarrow 2^{\text{NS}}$  is the evaluation function

Guarded KAT (GKAT) restricts KAT to guarded versions of + and \*

 $p +_b q$  $\text{if } b \text{ then } p \text{ else } q$  $p^{(b)}$  $\text{while } b \text{ do } p$ 

- ▶ almost linear time decidability
- ▶ Kleene theorem
- ▶ completeness over a coequationally-defined language model
- ▶ coalgebraic theory

# GKAT Automata/Coalgebras

Strictly deterministic automata = coalgebras for the functor

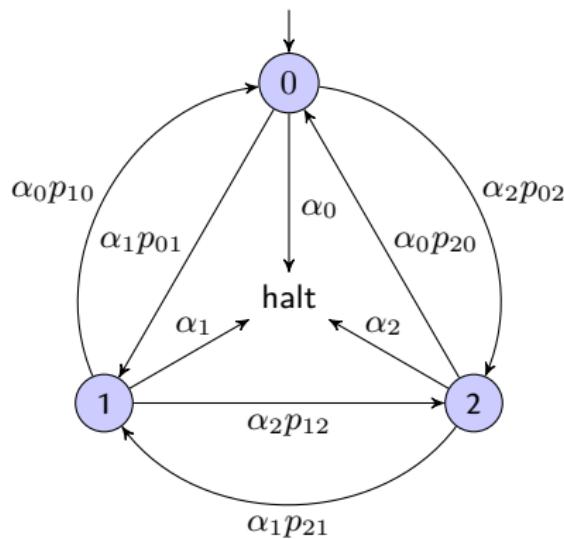
$$G = (2 + \Sigma \times (-))^{\text{At}}$$

Intuitively  $\gamma : X \rightarrow (2 + \Sigma \times X)^{\text{At}}$  operates as follows:

- ▶ atoms  $\alpha \in \text{At}$  come in from the environment
- ▶ the program responds by either
  - ▶ performing an action  $p$  and moving to a new state  
 $(\gamma(s)(\alpha) = (p, s))$
  - ▶ halting and accepting ( $\gamma(s)(\alpha) = 1$ )
  - ▶ halting and rejecting ( $\gamma(s)(\alpha) = 0$ )

# A Counterexample [Kozen & Tseng 08]

All GKAT expressions correspond to automata, but not vice versa



# GKAT Bialgebras

## Functors

- ▶  $F = \text{GExp}_{\Sigma, B}$ , where  $\text{GExp}_{\Sigma, B} X = \text{GKAT}$  expressions with operators  $e_1; e_2, e_1 +_b e_2$ , and  $e^{(b)}$  over indeterminate actions  $X$ , constant actions  $\Sigma$ , tests  $B$
- ▶  $G = (2 + \Sigma \times (-))^{\text{At}}$

**Distributive law:** syntactic Brzozowski derivative

$$\text{Brz} : \text{GExp}_{\Sigma, B}((2 + \Sigma \times (-))^{\text{At}}) \rightarrow (2 + \Sigma \times \text{GExp}_{\Sigma, B}(-))^{\text{At}},$$

$$D_\alpha : \text{GExp}_{\Sigma, B}((2 + \Sigma \times (-))^{\text{At}}) \rightarrow 2 + \Sigma \times \text{GExp}_{\Sigma, B}(-)$$

for  $\alpha \in \text{At}$

# GKAT Bialgebras

$$D_\alpha(e_1 +_b e_2) = \begin{cases} D_\alpha(e_1), & \alpha \leq b \\ D_\alpha(e_2), & \alpha \leq \bar{b} \end{cases}$$
$$D_\alpha(e_1 e_2) = \begin{cases} (p, e'_1 e_2), & D_\alpha(e_1) = (p, e'_1) \\ D_\alpha(e_2), & D_\alpha(e_1) = 1 \\ 0, & D_\alpha(e_1) = 0 \end{cases}$$
$$D_\alpha(e^{(b)}) = \begin{cases} (p, e' e^{(b)}), & \alpha \leq b \wedge D_\alpha(e) = (p, e') \\ 0, & \alpha \leq b \wedge D_\alpha(e) \in 2 \\ 1, & \alpha \leq \bar{b} \end{cases}$$

$$D_\alpha(0) = 0$$

$$D_\alpha(1) = 1$$

$$D_\alpha(b) = [\alpha \leq b]$$

$$D_\alpha(p) = (p, 1)$$

$$D_\alpha(f) = f(\alpha)$$

where  $\alpha \in \text{At}, b \in B, p \in \Sigma, f \in (2 + \Sigma \times X)^{\text{At}}$

## KAT+B! [Grathwohl et al. 14]

- ▶ Add **mutable tests**  $b!$  and  $b?$  to KAT whose behavior is specified equationally
- ▶ Conservatively extend any KAT with a minimal amount of extra structure sufficient to perform certain program transformations at the propositional level without sacrificing decidability or deductive completeness
- ▶ **Central result:** A representation theorem for the commutative coproduct of an arbitrary KAT  $K$  and a finite relation algebra, namely that it is isomorphic to a certain matrix algebra over  $K$

- ▶ setters  $b!, \bar{b}!$  (think:  $b := true, b := false$ )
- ▶ testers  $b?, \bar{b}?$

## Axioms

- ▶  $b!b? = b!$
- ▶  $b?b! = b?$
- ▶  $b!\bar{b}! = \bar{b}!$
- ▶  $b!c! = c!b! \quad (b \neq \bar{c})$
- ▶  $b!c? = c?b! \quad (b \notin \{c, \bar{c}\})$

## Consequences

- ▶  $b!b! = b!$
- ▶  $b!\bar{b}? = 0$

## KAT+B! [Grathwohl et al. 14]

- ▶  $F_n$  = the free B!-algebra on  $b_1, \dots, b_n$ , isomorphic to  $\text{Mat}(2^n, 2)$  = the full relation algebra on  $2^n$  states
- ▶ B! is PSPACE-complete
- ▶ can conservatively extend any KAT with mutable tests via a **commutative coproduct** construction  $(K \oplus F_n)/C$
- ▶  $(K \oplus F_n)/C \cong \text{Mat}(2^n, K)$
- ▶ KAT+B! is exponential-space complete

# Characterization of $F_n$

## Lemma

Every element of  $F_n$  can be written as a finite sum  $\sum_i \alpha_i ? \beta_i !$ .

$$(\alpha ? \beta !)(\gamma ? \delta !) = \begin{cases} \alpha ? \delta ! & \text{if } \beta = \gamma \\ 0 & \text{otherwise} \end{cases}$$

## Theorem

$$F_n \cong \text{Mat}(2^n, 2).$$

$$\alpha ? \beta ! \quad \mapsto \quad \begin{array}{c} \beta \\ \boxed{\phantom{0000}} \\ \alpha \qquad \qquad \qquad 1 \end{array}$$

# Commutative Coproduct

Let  $C = \{ab = ba \mid a \in K, b \in F\}$ .

## Lemma

If  $f : K \rightarrow H, g : F \rightarrow H$  such that for all  $a \in K, b \in F$ ,

$$f(a)g(b) = g(b)f(a),$$

then there exists a unique universal arrow

$$[f, g] : (K \oplus F)/C \rightarrow H$$

commuting with the canonical injections

$$\begin{array}{ccccc} K & \xrightarrow{i_K} & (K \oplus F)/C & \xleftarrow{i_F} & F \\ & \searrow f & \downarrow [f, g] & \swarrow g & \\ & & H & & \end{array}$$

# Commutative Coproduct

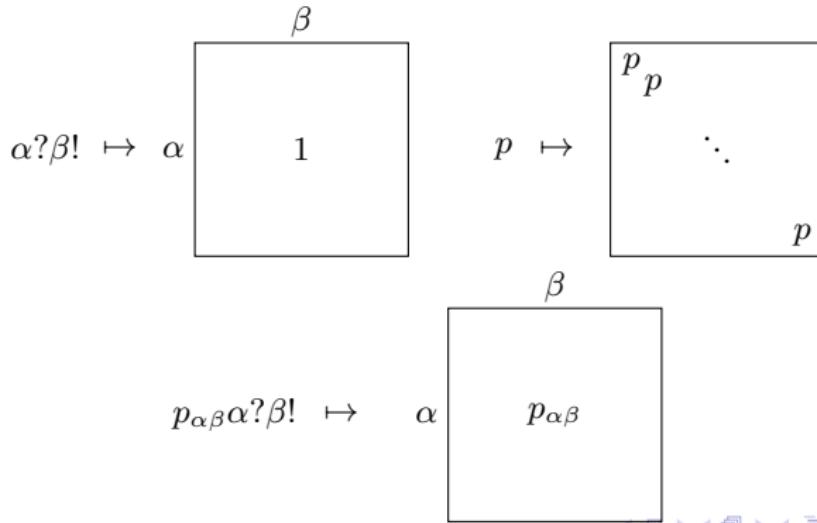
Let  $K$  be an arbitrary KAT and let  $F$  be a finite KAT.

## Lemma

*Every element of  $(K \oplus F)/C$  can be written as a finite sum  $\sum_{s \in F} p_s s$ .*

## Theorem

$$(K \oplus F_n)/C \cong \text{Mat}(2^n, K).$$



# Commutative Coproduct

## Corollary

*The commutative coproduct  $(K \oplus F_n)/C$  is injective*

*(= the extension of  $K$  with mutable tests is **conservative**)*

It is not known whether the coproduct of arbitrary KATs is injective

# Complexity

## Theorem

KAT + B! is EXPSPACE-complete.

A binary counter:

```
 $\bar{b}_0!; \bar{b}_1!; \dots; \bar{b}_{n-1}!$ ;
while  $\bar{b}_0? + \bar{b}_1? + \dots + \bar{b}_{n-1}?$  {
    if  $\bar{b}_0?$  then  $b_0!$ ;
    else if  $\bar{b}_1?$  then  $\bar{b}_0!; b_1!$ ;
    else if  $\bar{b}_2?$  then  $\bar{b}_0!; \bar{b}_1!; b_2!$ ;
    else ...
    else if  $\bar{b}_{n-1}?$  then  $\bar{b}_0!; \bar{b}_1!; \dots; \bar{b}_{n-2}!; b_{n-1}!$ ;
    else skip
}
```

# KAT+B! Bialgebra

Let

- ▶  $\text{At}_B = \{\text{atoms of non-mutable tests}\}$
- ▶  $\text{At}_T = \{\text{atoms of mutable tests}\}$

Functors:

- ▶  $F = \text{Exp}_{\Sigma, B, T}$ , where  $\text{Exp}_{\Sigma, B, T} X = \text{KAT expressions over indeterminates } X, \text{ constant actions } \Sigma, \text{ nonmutable tests } B, \text{ mutable tests } T$
- ▶  $G = (2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times (-)^{\text{At}_B \times \Sigma}$  over  $\text{At}_T \times \text{At}_T$  matrices

# KAT+B! Bialgebra

Distributive law: syntactic Brzozowski derivative

$$\begin{aligned} \text{Brz} : \text{Exp}_{\Sigma, B, T}((2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times (-)^{\text{At}_B \times \Sigma}) \\ \rightarrow (2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times \text{Exp}_{\Sigma, B, T}(-)^{\text{At}_B \times \Sigma} \end{aligned}$$

$$E_{\sigma\tau\alpha} : \text{Exp}_{\Sigma, B, T}((2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times (-)^{\text{At}_B \times \Sigma}) \rightarrow 2$$

$$D_{\alpha p} : \text{Exp}_{\Sigma, B, T}((2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times (-)^{\text{At}_B \times \Sigma}) \rightarrow \text{Exp}_{\Sigma, B, T}(-)$$

for  $\sigma, \tau \in \text{At}_T$ ,  $\alpha \in \text{At}_B$ , and  $p \in \Sigma$

# KAT+B! Bialgebra

$E_{\sigma\tau\alpha}$  and  $D_{\alpha p}$  defined exactly like  $E_\alpha$  and  $D_{\alpha p}$  of KAT, except for the base cases

$$E_{\sigma\tau\alpha}(t!) = [\tau = \sigma[t]]$$

$$D_{\alpha p}(t!) = 0^{\text{At}_T \times \text{At}_T}$$

$$E_{\sigma\tau\alpha}(t?) = [\sigma = \tau \leq t]$$

$$D_{\alpha p}(t?) = 0^{\text{At}_T \times \text{At}_T}$$

$$E_{\sigma\tau\alpha}(M, f) = M_{\sigma\tau}(\alpha)$$

$$D_{\alpha p}(M, f) = f(\alpha p)$$

$$E_{\sigma\tau\alpha}(t!) = [\tau = \sigma[t]]$$

$$D_{\alpha p}(t!) = 0^{\text{At}_T \times \text{At}_T}$$

$$E_{\sigma\tau\alpha}(t?) = [\sigma = \tau \leq t]$$

$$D_{\alpha p}(t?) = 0^{\text{At}_T \times \text{At}_T}$$

$$E_{\sigma\tau\alpha}(M, f) = M_{\sigma\tau}(\alpha)$$

$$D_{\alpha p}(M, f) = f(\alpha p)$$

$$D_{\alpha p}(q) = 0^{\text{At}_T \times \text{At}_T}, q \neq p$$

$$D_{\alpha p}(p) = I(1)$$

$$D_{\alpha p}(b) = 0^{\text{At}_T \times \text{At}_T}$$

# KAT+B! Bialgebra

Two extremal examples of KAT+B! bialgebras, namely

- ▶  $\text{At}_T \times \text{At}_T$  matrices over regular sets of guarded strings
- ▶  $\text{At}_T \times \text{At}_T$  matrices over all sets of guarded strings

For the latter with  $U = (2^{\text{At}_B})^{\text{At}_T \times \text{At}_T}$  and  $X = (2^{\text{GS}})^{\text{At}_T \times \text{At}_T}$ ,  
the bialgebra diagram becomes

$$\begin{array}{ccccc} \text{Exp}_{\Sigma, B, T} X & \xrightarrow{\sigma} & X & \xrightarrow{\zeta} & U \times X^{\text{At}_B \times \Sigma} \\ (-)[(\zeta(M))/M] \downarrow & & & & \uparrow \text{id}_U \times (\sigma \circ -) \\ \text{Exp}_{\Sigma, B, T}(U \times X^{\text{At}_B \times \Sigma}) & \xrightarrow{\text{Brz}_X} & U \times (\text{Exp}_{\Sigma, B, T} X)^{\text{At}_B \times \Sigma} & & \end{array}$$

# KAT+B! Bialgebra

where

$$\zeta : (2^{\text{GS}})^{\text{At}_T \times \text{At}_T} \rightarrow (2^{\text{At}_B})^{\text{At}_T \times \text{At}_T} \times ((2^{\text{GS}})^{\text{At}_T \times \text{At}_T})^{\text{At}_B \times \Sigma}$$

is the componentwise semantic Brzozowski derivative for KAT:

$$\zeta(M) = (\varepsilon_\alpha(M), \delta_{\alpha p}(M))$$

where

$$\varepsilon_\alpha(M)_{\sigma\tau} = [\alpha \in M_{\sigma\tau}] \quad \delta_{\alpha p}(M) = \{x \mid \alpha px \in M_{\sigma\tau}\}$$

and  $\sigma$  is the evaluation function on regular expressions over  $\text{At}_T \times \text{At}_T$  matrices of subsets of GS

# KAT+B! Bialgebra

I think this can be done better!

Break up the KAT+B! derivative into two stages

$$\begin{aligned}\text{Exp}_{\Sigma,B,T}(\text{Mat}(\text{At}_T, GX)) &\rightarrow \text{Mat}(\text{At}_T, \text{Exp}_{\Sigma,B}(GX)) \\ &\rightarrow \text{Mat}(\text{At}_T, G(\text{Exp}_{\Sigma,B} X))\end{aligned}$$

using the distributive law

$$\text{Exp}(\text{Mat}(S, X)) \rightarrow \text{Mat}(S, \text{Exp}X)$$

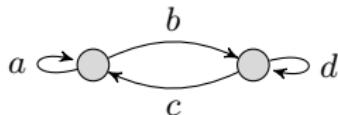
## Matrices over a KA(T) form a KA(T)

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} + \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} a+e & b+f \\ c+g & d+h \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} ae+bg & af+bh \\ ce+dg & cf+dh \end{bmatrix}$$

$$0 = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \quad 1 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} (a+bd^*c)^* & (a+bd^*c)^*bd^* \\ (d+ca^*b)^*ca^* & (d+ca^*b)^* \end{bmatrix}$$





Thanks, and stay safe!